MP4 Rec'd PCT/PTO 22 DEC 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER M 5343 HO DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/007242 2July 2004 4 July 2003 TITLE OF INVENTION BRAKE UNIT FOR A RACK AND PINION DRIVE APPLICANT(S) FOR DO/EO/US <u>Johann EBERLE, et al</u> Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. XX This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. XX An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. XX An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🔯 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. XX A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. l A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP20 Noc'67 CT/FTO 22 DEC 2005

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U.S. APPLICATION NOT (IT KNOWN, 1566-37 (CFR4.5)			PCT/EP2004/007	PLICATION NO. 242	M 5343 HO			
20. Other items or information: STATEMENT UNDER 37 CFR 3.73(b)								
The following fees have been submitted					CA	LCULATIONS	PTO USE ONLY	
21. X Basic national fee (37 CFR 1.492(a))					\$	300		
22. Examination fee (37 CFR 1.492(c))								
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations\$200						200		
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						400		
TOTAL OF 21, 22 and 23 =						900		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra Sheets	Sheets Number of each additional 50 or fraction thereof (round up to a whole number)						
- 100 =	/50 =			x \$250	\$		l	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).								
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		10 - 20 =	-0-	x \$ 50	\$			
Independent claims 1 - 3 =		-0-	x \$200	\$				
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360								
TOTAL OF ABOVE CALCULATIONS =						900		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.								
SUBTOTAL =								
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								
TOTAL NATIONAL FEE = \$								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 40			
TOTAL FEES ENCLOSED =					\$	940		
						ount to be inded:	\$	
						ount to be rged	\$	

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a. $X$ A check in the amount of \$ $940$ to	o cover the above fees is enclosed.					
b. Please charge my Deposit Account No in A duplicate copy of this sheet is enclosed.	the amount of \$ to cover the above fees.					
c. The Commissioner is hereby authorized to charge any ad Account No. $18-2069$ . A duplicate copy of this sheet	ditional fees which may be required, or credit any overpayment to Deposit is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Inform be included on this form. Provide credit card information	mation on this form may become public. Credit card information should not n and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed						
and granted to restore the International Application to pending status.						
W. Norman Roth Roth & Goldman, P.A. 523 West Sixth Street, Suite 707 Los Angeles, CA 90014 Telephone: (213) 688-1143 Facsimile: (213) 688-1439 Customer No. 26,387	SIGNATURE W. Norman Roth  NAME 26,225  REGISTRATION NUMBER					
; ; }						

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		Lupe L. Rosas
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